08-13555-mg Doc 56351 Filed 09/11/17 Entered 10/11/17 11:42:11 Main Document

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SEP 11 2017

EPIQ BANKRUPTCY SOLUTIONS, LLC

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.

Case No 08-13555(JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Dreyfus Söhne & Cie AG, Banquiers	Notenstein La Roche Privatbank AG	
Name of Transferee	Name of Transferor	
Name and Address where notices to transferee should be sent:	Court Claim # (if known): <u>559233.83</u> Amount of Claim: Note: This is a Partial Transfer of Claim. See	
Dreyfus Söhne & Cie AG, Banquiers Aeschenvorstadt 16	attached Evidence of Transfer of Claim for	
Postfach		
4002 Basel	Details	
Switzerland	Date Claim Filed: 08/28/2017	
Phone: +41 61 286 62 81	Phone:	
Email: securities@dreyfusbank.ch		
Last Four Digits of Acct #: n/a	Last Four Digits of Acct. #: n/a	
Name and Address where transferee payments should be sent (if different from above):		
Phone:		
Phone: Last Four Digits of Acct #:		
I declare under penalty of perjury that the information plest of my knowledge and belief. By: Date: _08	provided in this notice is true and correct to the 3/28/2017	
Transferee/Transferee's Agent		
Penalty for making a false statement: Fine of up to \$500,000 or imprisonment	ent for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.	

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTORAND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Notenstein La Roche Privatbank AG ("Transferor") unconditionally and irrevocably transferred to Dreyfus Söhne & Cie AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 559233.83) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF. this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON August 25th, 2017.

Notenstein La Roche Privatbank AG

By:

Name: August Zingg

Title:

Associate

By:

Name: Roger Knöpfel

Title:

Associate

08-13555-mg Doc 56351 Filed 09/11/17 Entered 10/11/17 11:42:11 Main Document Pg 3 of 5

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim Related to Security
XS0338495087	59233	October 29, 2009	Lehman Brothers Securities NV	EUR 30'000



Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Inc. Claims Processing 757 Third Avenue, 3rd Floor New York, NY 10017 United States of America

Basel, 08-28-2017 TIT

Lehman Brothers Claim No. 559233.83

Dear Sir's

Enclosed please find one transfer of claim other than for security with attached evidences of claim. The mentioned Lehman Products are now deposited in our bank. Please process these transfers.

If you have any questions, do not hesitate to contact us under the phone number 0041 61 286 62 81

Yours sincerely,

Dreyfus Sons & Co Ltd, Banquiers

Eric Zurbuchen Corporate Actions Werner Knutti Corporate Actions

Dreyfus Sons & Co Ltd, Banquiers Aeschenvorstadt 16 | P.O. Box | 4002 Basel | Switzerland Telephone +41 61 286 66 66 | Fax +41 61 272 24 38 contact@dreyfusbank.ch | www.dreyfusbank.ch

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